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Conflict Minerals Reporting Template (CMRT)

English

Revision 6.01
May 19, 2020

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	Illinois Lock Company
Declaration Scope or Class (*):	A. Company
Description of Scope:	
Company Unique ID:	06-0330020
Company Unique ID Authority:	FEIN
Address:	301 W Hintz Rd. Wheeling, il 60090 usa
Contact Name (*):	Nelson Soriano
Email - Contact (*):	nsoriano@illinoislock.com
Phone - Contact (*):	847-403-5287
Authorizer (*):	Nelson Soriano
Title - Authorizer:	Quality Assurance Manager
Email - Authorizer (*):	nsoriano@illinoislock.com
Phone - Authorizer:	847-537-1800
Effective Date (*):	30-Jun-2020

Answer the following questions 1 - 8 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum	No	
Tin	No	
Gold	No	
Tungsten	No	

2) Does any 3TG remain in the product(s)?	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab)	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		

4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas?	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		

5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources?	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		

6) What percentage of relevant suppliers have provided a response to your supply chain survey?	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		

7) Have you identified all of the smelters supplying the 3TG to your supply chain?	Answer	Comments



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Tantalum		
Tin		
Gold		
Tungsten		



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8) Has all applicable smelter information received by your company been reported in this declaration?

	Answer	Comments
Tantalum		
Tin		
Gold		
Tungsten		

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a responsible minerals sourcing policy?	Yes	
B. Is your responsible minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.)	Yes	http://www.easterncompany.com/company-governance.php
C. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program?	Yes	Yes, when possible.
D. Have you implemented due diligence measures for responsible sourcing?	Yes	
E. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)?	Yes, in conformance with IPC1755 (e.g., C	
F. Do you review due diligence information received from your suppliers against your company's expectations?	Yes	
G. Does your review process include corrective action management?	Yes	
H. Is your company required to file an annual conflict minerals disclosure?	Yes, with the SEC	

The Eastern Company Conflict Minerals Policy

“Conflict Minerals” refer to minerals specifically tantalum, tin, gold, tungsten or their derivatives originating in the Democratic Republic of the Congo or adjoining countries (“Covered Countries”) and could include other minerals and their derivatives as determined by the U.S. Secretary of State. On August 22, 2012, the final rules regarding the sourcing of conflict minerals under Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act was approved by the U.S. Securities and Exchange Commission (the “SEC”). The rule requires annual reporting to the SEC of the presence of any conflict minerals originating from the Covered Countries in the products manufactured or contracted to manufacture whereby the conflict minerals are necessary to the functionality or production of a product. The Eastern Company is committed to taking all necessary steps to comply with this law and will file its first report with the SEC on May 31, 2014 for the calendar year 2013.

Suppliers must pass this requirement through the supply chain and determine the source of specified minerals and provide written evidence of due diligence documenting source of origin of the specified conflict minerals.

Suppliers who are non-compliant to these requirements shall be reviewed by our purchasing managers for future business.

The Eastern Company supports this effort and expects its suppliers to source materials from socially responsible suppliers.

The Eastern Company expects all of its suppliers to comply with the Dodd-Frank legislation (<http://www.sec.gov/rules/final/2012/34-67716.pdf>) and provide all necessary declarations.